

APPENDIX C SAFEGUARDING

Context

This report combines adult and children's safeguarding data and analysis and provides an overview of safeguarding activity in Quarter 3 of 2015/16. It aims to highlight good practice and identify areas for development/improvement which will be incorporated into delivery plans for the relevant service areas. The children's data (except for the re-referral information) is shared with partners as required by the Local Safeguarding Children's Board (LSCB) performance scorecard.

CHILDREN & YOUNG PEOPLE UPDATE

Early Intervention

There were 23 new Common Assessment Frameworks (CAF's) opened in Quarter 3, 9 of which were referred by Social Care, representing 39% of the total number of CAF for the quarter.

| Rutland | Q1 | Q2 | Q3 | Q4 | Total | Reporting Frequency |
|---|-----|-----|-----|----|-------|---------------------|
| Number of new CAF's | 40 | 7 | 23 | | | Quarterly |
| Number/Proportion of Children's Social Care referrals that result in a CAF* | 8 | 2 | 9 | | | Quarterly |
| | 20% | 29% | 39% | | | |

*The proportion of referrals resulting in a CAF is calculated on referrals only, not referrals/contacts

Contact referral and assessment

- There was a 14% decrease in contacts this quarter (202 as opposed to 234 in Quarter 2). Of those contacts, 45% (91) went on to referral compared to 33% (78) last quarter.
- 82% of all single assessments closed during Quarter 3, were closed within timescales (45 days)
- There were 53 section 47 enquiries during Quarter 3.

| | Q1 | Q2 | Q3 | Q4 | Total/ Cumulative | Reporting Frequency |
|---|-----|-----|-----|----|----------------------|------------------------|
| Number of contacts to Children's Social Care (include referrals) | 255 | 234 | 202 | | | Quarterly |
| Number of referrals to Children's Social Care | 100 | 78 | 91 | | | Quarterly |
| Number of referrals made by EDT/Out of Hours Team (including those that were recorded as contacts only) | 20 | 3 | 0 | | | Quarterly |
| Number of single assessments started during Quarter | 84 | 74 | 54 | | | |
| No. of single assessments closed, and % closed within 45 days | 77 | 72 | 65 | | | Quarterly |
| | 65% | 58% | 82% | | | |
| Number of S47 enquiries | 28 | 17 | 53 | | | Quarterly |

Child Protection

- There were 34 child protection plans at 31st December 2015. This is a 31% increase on Quarter 2.
- The largest category of abuse for CP plans at end of September 2015 was emotional, which represented 56% of all plans.
- Of the children with a CP plan for 3 months or more at 31st March 2015, 100% had been reviewed within timescales (PI 67).

| | Q1 | Q2 | Q3 | Q4 | Cumulative | Reporting Frequency |
|---|------|----|------|----|-------------|----------------------------|
| Number of children subject to a CP Plan | 33 | 26 | 34 | | n/a | Quarterly |
| Number/Rate in each Category of Abuse | | | | | | |
| Neglect | 5 | 7 | 12 | | n/a | Quarterly |
| Physical | 0 | 0 | 0 | | n/a | |
| Emotional | 17 | 14 | 19 | | n/a | |
| Sexual | 1 | 1 | 1 | | n/a | |
| Multiple* | 9 | 4 | 2 | | n/a | |
| *Breakdown of Multiple: | | | | | | |
| Phys/Neglect/Emotional | 1 | 1 | 0 | | n/a | Quarterly |
| Phys/Sexual | 1 | 0 | 0 | | n/a | |
| Phys/Emotional | 7 | 3 | 2 | | n/a | |
| | | | | | | |
| Unborn | 0 | 0 | 0 | | n/a | Quarterly |
| 0 - 4 | 15 | 8 | 14 | | n/a | |
| 5 - 9 | 7 | 6 | 12 | | n/a | |
| 10 - 15 | 9 | 8 | 6 | | n/a | |
| 16+ | 2 | 4 | 2 | | n/a | |
| | | | | | | |
| Male | 17 | 14 | 17 | | n/a | Quarterly |
| Female | 16 | 12 | 17 | | n/a | |
| Unborn | 0 | 0 | 0 | | n/a | |
| | | | | | | |
| Percentage of CP cases which were reviewed within required timescales | 100% | | 100% | | 100% | Quarterly Target - 100% |
| Number of CP cases allocated to a Social Worker | 100% | | 100% | | 100% | Target - 100% |

Looked After Children

| Rutland | Q1 | Q2 | Q3 | Q4 | Cumulative | Reporting Frequency |
|---------------------------------|----|----|----|----|------------|---------------------|
| Number of Looked After Children | 34 | 31 | 34 | | n/a | Quarterly |
| Ethnicity of LAC | | | | | | |
| White | 32 | 29 | 31 | | n/a | Quarterly |
| Mixed | 2 | 2 | 3 | | n/a | |
| Asian | | | | | n/a | |
| Black | | | | | n/a | |
| Other | | | | | n/a | |
| Undetermined | | | | | n/a | |

| | | | | | |
|---|----|----|------|--|-------------|
| 0 - 4 | 9 | 7 | 10 | | n/a |
| 5 - 9 | 8 | 6 | 7 | | n/a |
| 10 - 15 | 10 | 11 | 11 | | n/a |
| 16+ | 7 | 7 | 6 | | n/a |
| | | | | | |
| Male | 18 | 18 | 18 | | n/a |
| Female | 16 | 13 | 16 | | n/a |
| Percentage of LAC at period end with 3 or more placements | 0% | 0% | 0% | | 0% |
| LAC cases which were reviewed within required timescales | | | 100% | | 100% |
| Stability of placements of LAC: length of placement | | | | | 100% |

ADULTS UPDATE

Safeguarding Adults Data Collection

100 alerts/enquiries were received in Q3. This represents an increase from Q2 and reflects the ongoing effectiveness of receiving alerts through the RCC single point of contact. The data reflects that individuals know where to raise their concerns as well as providers being confident to inform the Prevention and Safeguarding Team of incidents in residential care.

Q3 has seen an increase in the amount of alerts from voluntary agencies e.g. the Alzheimer's Society and the Rutland Community Agents.

18 of this number resulted in the implementation of the Safeguarding Adults Procedures.

There was a conclusion to the high profile alert of a resident absconding from a residential care home. The voluntary suspension was lifted on 10 November 2015 following multi-agency monitoring and liaison.

The number of community alerts remains almost on a par with those received from Residential settings which offers assurances that vulnerable adults in the community are offered protection via the alert process.

A poster campaign is due to be launched to promote safeguarding awareness and build on community recognition of adult safeguarding.

| Location of alleged abuse | Q1 | Q2 | Q3 | Q4 | Total | Reporting Frequency |
|---------------------------|----|----|----|----|-------|---------------------|
| Community | 34 | 45 | 47 | | | Quarterly |
| Residential | 24 | 34 | 53 | | | Quarterly |
| Unknown | 0 | 0 | 0 | | | Quarterly |

| Source of Referral for all Alerts | Q1 | Q2 | Q3 | Q4 |
|-----------------------------------|---------------------|--|--|----|
| Primary Health Care | 2 | 0 | 3 | |
| Secondary Health Care | 4 | 7 | 3 | |
| Adult Mental Health Setting | 0 | 0 | 0 | |
| Residential | 13 | 23 | 40 | |
| Day Care | 1 | 0 | 2 | |
| Social Worker/Care Manager | 12 | 22 | 23 | |
| Self-Directed Care Staff | 0 | 0 | 0 | |
| Domiciliary | 4 | 4 | 10 | |
| Other Care Workers | 0 | 0 | 0 | |
| Self | 0 | 1 | 0 | |
| Family Member | 8 | 0 | 2 | |
| Other Service User | 0 | 0 | 0 | |
| Friend/Neighbour | 0 | 8 | 0 | |
| Care Quality Commission | 2 | 0 | 1 | |
| Housing | 3 | 3 | 4 | |
| Education | 0 | 0 | 0 | |
| Police | 2 | 4 | 0 | |
| Other | 2 - EMAS 1 - EDT | Other local authority – 2 3- EMAS 1 – Community Agent 1 - EDT | Other local authority – 1 Community Agent – 1 EMAS – 3 Voluntary agency – 6 | |
| Not Known | | | | |

Closed Cases in Quarter 3

Safeguarding Adults performance data is obtained when a case is closed at the end of the Safeguarding Adults process. 10 cases were closed in Quarter 3. Older people have been consistently the largest service user group represented in safeguarding within adult social care services and in this quarter there were 3 investigations closed where the service users had a learning disability. In 2 of the cases the investigations were ceased during the process – 1 case at the request of the family and 1 where action and intervention meant that the service user was not at risk.

One of the investigations was on a provider and social workers from the Long Term and Review team worked with this provider to investigate the safeguarding concerns and reviewed the Rutland residents that were placed there.

The post for the Senior Practitioner on the Prevention and Safeguarding team will be re-advertised as there were no successful applicants for the post in the recent recruitment campaign.

The current Senior Practitioner and Team Manager in the Prevention and Safeguarding Team are going to be meeting with CQC colleagues on a more regular basis to support work with.

| Outcome | Q1 | Q2 | Q3 | Q4 |
|---------------------------|----|----|----|----|
| Substantiated - fully | 3 | 4 | 3 | |
| Substantiated - partially | 0 | 0 | 0 | |
| Not Substantiated | 3 | 4 | 5 | |
| Inconclusive | 2 | 1 | 0 | |

| Primary Client Type | Q1 | Q2 | Q3 | Q4 |
|---------------------|----|----|----|----|
| Older Person | 3 | 5 | 7 | |
| Mental Health | 0 | 1 | 0 | |
| Learning Disability | 4 | 3 | 2 | |
| Physical Disability | 0 | 0 | 0 | |
| Not recorded | 1 | 0 | 0 | |

| Primary Age Group | Q1 | Q2 | Q3 | Q4 |
|-------------------|----|----|----|----|
| 18-64 | 4 | 2 | 2 | |
| 65-74 | 1 | 2 | 2 | |
| 75-84 | 1 | 2 | 3 | |
| 85-94 | 2 | 3 | 2 | |
| 95+ | 0 | 0 | 0 | |

| Type of Abuse* | Q1 | Q2 | Q3 | Q4 |
|----------------------------|----|----|----|----|
| Physical | 2 | 1 | 3 | |
| Sexual | 0 | 1 | 0 | |
| Psychological&Emotional | 4 | 0 | 0 | |
| Financial & Material | 0 | 3 | 1 | |
| Neglect & Acts of Omission | 2 | 4 | 5 | |
| Discriminatory | 0 | 0 | 0 | |
| Institutional | 0 | 0 | 1 | |
| Not Known | 0 | 0 | 0 | |

*Cases may include more than one category

| Source of Referral | Q1 | Q2 | Q3 | Q4 |
|-----------------------------|----|----|----|----|
| Primary Health Care | 0 | 0 | 1 | |
| Secondary Health Care | 1 | 1 | 0 | |
| Adult Mental Health Setting | 0 | 0 | 0 | |

| | | | | |
|----------------------------|---|---|---|--|
| Residential | 4 | 0 | 1 | |
| Day Care | 0 | 0 | 0 | |
| Social Worker/Care Manager | 1 | 2 | 6 | |
| Self-Directed Care Staff | 0 | 0 | 0 | |
| Domiciliary | 0 | 3 | 1 | |
| Other Care Workers | 0 | 0 | 0 | |
| Self | 0 | 0 | 0 | |
| Family Member | 1 | 1 | 0 | |
| Other Service User | 0 | 0 | 0 | |
| Friend/Neighbour | 0 | 0 | 0 | |
| Care Quality Commission | 0 | 0 | 0 | |
| Housing | 0 | 1 | 1 | |
| Education | 0 | 0 | 0 | |
| Police | 1 | 1 | 0 | |
| Other | 0 | 0 | 0 | |
| Not Known | 0 | 0 | 0 | |

| Protection Plans | Q1 | Q2 | Q3 | Q4 |
|---|-----------|-----------|-----------|-----------|
| Adult Protection Plans accepted by either the service user or the agencies involved | 0 | 0 | 2 | |
| Adult Protection Plans not accepted | 0 | 0 | 0 | |
| Could not consent | 0 | 0 | 0 | |

| Repeat Referrals | Q1 | Q2 | Q3 | Q4 |
|-------------------------|-----------|-----------|-----------|-----------|
| No of Repeat Referrals | 5 | 2 | 1 | |